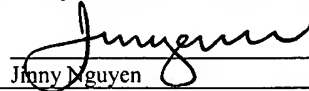




CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Office of Initial Patent Examination, Filing Receipt Correction, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **October 6, 2003**.


Jimmy Nguyen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: October 6, 2003

Ka Shun Kevin FUNG

Serial No.: 10/600,137

Group Art Unit: 3624

Filed: June 20, 2003

Examiner: To Be Assigned

For: METHOD AND SYSTEM FOR IMPROVING THE LIQUIDITY OF
TRANSACTIONS FOR PM POOLS AN AUCTIONS

Office of Initial Patent Examination
Filing Receipt Correction
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

In the Title section, please remove "improvig" and replace with --improving--.

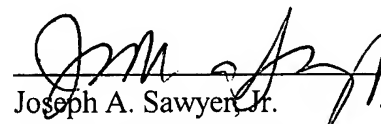
Since this was a USPTO error, Applicant believes there is no fee due at this time. However, the Commissioner is hereby authorized to charge any fees or credit any overpayment associated with this communication to Deposit Account 02-2120 (Sawyer Law Group LLP).

Respectfully submitted,

SAWYER LAW GROUP LLP

October 6, 2003

Date


Joseph A. Sawyer, Jr.
Attorney for Applicant
Reg. No. 30,801
(650) 493-4540



OCT 1 - 2003

FUNG
2702P

Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/600,137	06/20/2003	3624	834	2702P	18	57	6

29141
SAWYER LAW GROUP LLP
P O BOX 51418
PALO ALTO, CA 94303

CONFIRMATION NO. 5587

FILING RECEIPT



OC000000010866670

Date Mailed: 09/12/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ka Shun Kevin Fung, Hong Kong, HONG KONG;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/389,956 06/20/2002

Foreign Applications

If Required, Foreign Filing License Granted: 09/11/2003

Projected Publication Date: 12/25/2003

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Method and system for improv~~ing~~ the liquidity of transactions for PM pools and auctions

Preliminary Class

IMPROVING

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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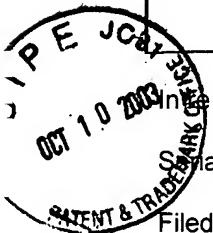
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Receipt



TRANSMITTAL FORM

Attorney Docket No.
2702P

In re the application: **Ka Shun Kevin FUNG**

Serial No: **10/600,137**

Group Art Unit: **3624**

Filed: **June 20, 2003**

Examiner: **To Be Assigned**

For: **Method and System for Improving the Liquidity of Transactions for PM Pools and Auctions**

ENCLOSURES (check all that apply)					
<input type="checkbox"/>	Amendment/Reply	<input type="checkbox"/>	Assignment and Recordation Cover Sheet	<input type="checkbox"/>	After Allowance Communication to Group
<input type="checkbox"/>	<input type="checkbox"/> After Final	<input type="checkbox"/>	Part B-Issue Fee Transmittal	<input type="checkbox"/>	Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/>	Information disclosure statement	<input type="checkbox"/>	Letter to Draftsman	<input type="checkbox"/>	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/>	<input type="checkbox"/> Form 1449	<input type="checkbox"/>	Drawings	<input type="checkbox"/>	Status Letter
<input type="checkbox"/>	<input type="checkbox"/> (X) Copies of References	<input type="checkbox"/>	Petition	<input checked="" type="checkbox"/>	Postcard
<input type="checkbox"/>	Extension of Time Request *	<input type="checkbox"/>	Fee Address Indication Form	<input checked="" type="checkbox"/>	Other Enclosure(s) (please identify below):
<input type="checkbox"/>	Express Abandonment	<input type="checkbox"/>	Terminal Disclaimer	- Request for Corrected Filing Receipt - Copy of Marked-Up Filing Receipt	
<input type="checkbox"/>	Certified Copy of Priority Doc	<input type="checkbox"/>	Power of Attorney and Revocation of Prior Powers		
<input type="checkbox"/>	Response to Incomplete Appln	<input type="checkbox"/>	Change of Correspondence Address		
<input type="checkbox"/>	Response to Missing Parts	*Extension of Term: Pursuant to 37 CFR 1.136, Applicant petitions the Commissioner to extend the time for response for xxxxx month(s), from to .			
<input type="checkbox"/>	<input type="checkbox"/> Executed Declaration by Inventor(s)				

CLAIMS					
FOR	Claims Remaining After Amendment	Highest # of Claims Previously Paid For	Extra Claims	RATE	FEE
Total Claims	57	57	0	\$9.00	\$ 0.00
Independent Claims	6	6	0	\$43.00	\$ 0.00
Total Fees					\$ 0.00

METHOD OF PAYMENT	
<input type="checkbox"/>	Check no. _____ in the amount of \$ _____ is enclosed for payment of fees.
<input type="checkbox"/>	Charge \$ _____ to Deposit Account No. _____ (Account Holder Name) for payment of fees.
<input checked="" type="checkbox"/>	Charge any additional fees or credit any overpayment to Deposit Account No. 02-2120 (Sawyer Law Group LLP).

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Attorney Name	Joseph A. Sawyer, Jr., Reg. No. 40,095
Signature	
Date	October 6, 2003

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